

03/12/01  
J1064 U.S. PTO

3-14-01

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new non-provisional applications under 37CFR§1.53(b))		Attorney Docket No.	KLR 7146.115
		First Inventor or Application Identifier	Sezan et al.
		Title	AUDIOVISUAL INFORMATION MANAGEMENT SYSTEM
		Express Mail Label No.	EL630674841US

09/804612  
03/12/01

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive Title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Federally Sponsored Research</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>		6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer readable copy</li><li>b. <input type="checkbox"/> Paper copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Pages 2019]		<b>ACCOMPANYING APPLICATION PARTS</b>	
4. Oath or Declaration [Total Pages 2] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)</li></ul></li></ul>		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)		8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee	
		9. <input type="checkbox"/> English translation document (if applicable)	
		10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations	
		11. <input type="checkbox"/> Preliminary Amendment	
		12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)	
		13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.	
		14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
		15. <input type="checkbox"/> Other: Preliminary Amendment	
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/299,811 Prior application information: Examiner Kostak, V. Group No./Art Unit 2711 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
17. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		<input checked="" type="checkbox"/> Correspondence address below	
Name Kevin L. Russell			
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Name (print type) Kevin L. Russell	Registration No. 38,292		
Signature		Date March 12, 2001	


# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete If Known

<b>FEE TRANSMITTAL</b> <b>for FY 2001</b> <i>Patent fees are subject to annual revision</i>		Application Number		
		Filing Date		March 12, 2001
		First Named Inventor		Sezan
		Examiner Name		
		Group/ Art Unit		
TOTAL AMOUNT OF PAYMENT		\$750	Attorney Docket No.	KLR:djs 7146.115

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to: Deposit Account Number: 03-1550 Deposit Account Name: Chernoff Vilhauer McClung & Stenzel <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 & 1.17		3. ADDITIONAL FEES Large Entity    Small Entity Fee    Fee    Fee    Fee    Fee Description    Fee Paid Code    (\$)    Code    (\$)	
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105    130    205    65 Surcharge - late filing fee or oath	
<b>FEE CALCULATION</b> <b>1. BASIC FILING FEE</b> Large Entity    Small Entity Fee    Fee    Fee    Fee Code    (\$)    Code    (\$)    Fee Description    Fee Paid		127    50    227    25 Surcharge-late provisional filing fee or cover sheet	
101    710    201    355    Utility filing fee    \$710 106    320    206    160    Design filing fee 107    490    207    245    Plant filing fee 108    710    208    355    Reissue filing fee 114    150    214    75    Provisional filing fee SUBTOTAL (1)    \$710		139    130    139    130 Non-English specification 147    2,520    147    2,520 For filing a request for reexamination 112    920*    112    920* Requesting publication of SIR prior to Examiner action 113    1840*    113    1840* Requesting publication of SIR after Examiner action 115    110    215    55 Extension for reply within first month 116    390    216    195 Extension for reply within second month 117    890    217    445 Extension for reply within third month 118    1,390    218    695 Extension for reply within fourth month 128    1,890    228    945 Extension for reply within fifth month 119    310    219    155 Notice of Appeal 120    310    220    155 Filing a brief in support of an appeal 121    270    221    135 Request for oral hearing 138    1,510    138    1,510 Petition to institute a public use proceeding 140    110    240    55 Petition to revive - unavoidable 141    1,240    241    620 Petition to revive - unintentional 142    1,240    242    620 Utility issue fee (or reissue) 143    440    243    220 Design issue fee 144    600    244    300 Plant issue fee 122    130    122    130 Petitions to the Commissioner 123    50    123    50 Petitions related to provisional applications 126    240    126    240 Submission of Information Disclosure Statement 581    40    581    40 Recording each patent assignment per property (times number of properties)    \$40 146    710    246    355 Filing a submission after final rejection (37 C.F.R. 1.129(a)) 149    710    249    355 For each additional invention to be examined (37 C.F.R. 1.129(b)) 169    900    169    900 Request for Expedited Examination of a Design Application Other (specify)	
<b>2. EXTRA CLAIM FEES</b> Total Claims    20    -20** =    0    x    =    0 Indep. Claims    1    - 3** =    0    x    =    0 Multiple Dependent    =    0 *or number of previously paid, if greater. For reissues, see below Large Entity    Small Entity Fee    Fee    Fee    Fee Code    (\$)    Code    (\$)    Fee Description		129    50    129    50 Petitions to the Commissioner 129    50    129    50 Petitions related to provisional applications 126    240    126    240 Submission of Information Disclosure Statement 581    40    581    40 Recording each patent assignment per property (times number of properties)    \$40 146    710    246    355 Filing a submission after final rejection (37 C.F.R. 1.129(a)) 149    710    249    355 For each additional invention to be examined (37 C.F.R. 1.129(b)) 169    900    169    900 Request for Expedited Examination of a Design Application Other (specify)	
103    18    203    9    Claims in excess of 20 102    80    202    40    Independent claims in excess of 3 104    270    204    135    Multiple dependent claim, if not paid 109    80    209    40    **Reissue independent claims over original patent 110    18    210    9    *Reissue claims in excess of 20 and over original patent SUBTOTAL (2)    \$0		SUBTOTAL (3)    \$40	

SUBMITTED BY		Complete (if applicable)			
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Signature				Date	March 12, 2001